

Mutual Fund Companies Ranked by MarketShare (AUM) Data from Q3 2017

Rank	Company Name	AUM \$ (in Billions)	Market Share	Date	2017 News
1	Black Rock Funds	\$5,977.00	9.96%	10/11/2017	Worlds largest asset management company
2	Vanguard	\$4,500.00	7.50%	9/30/2017	
3	State Street Global Advisors	\$2,670.00	4.45%	9/30/2017	Paid \$32.3 million for criminal fraud fines 1/18/17
4	Fidelity Investments	\$2,400.00	4.00%	9/30/2017	Won 14 Lipper Awards
5	JP Morgan	\$1,900.00	3.17%	9/30/2017	
6	BNY Mellon (Dreyfus)	\$1,800.00	3.00%	9/30/2017	
7	PIMCO	\$1,690.00	2.82%	9/30/2017	PIMCO settles SEC charges 12/1/16
8	Amundi Asset Mgmt	\$1,650.00	2.75%	9/30/2017	Purchased Pioneer on 6/22/17
9	Prudential Investments	\$1,370.00	2.28%	9/30/2017	AUM is growing at a high rate
10	American Funds Investments/PIMCO	\$1,300.00	2.17%	9/30/2017	
11	Nomura Asset Management	\$1,100.00	1.83%	10/13/2017	
12	Northern Trust	\$1,100.00	1.83%	9/30/2017	
13	TD Ameritrade	\$1,100.00	1.83%	9/30/2017	Buying Scott Trade
14	Morgan Stanley	\$1,000.00	1.67%	10/17/2017	Earnings surge 60% - 4/17/17
15	Wellington Management	\$1,000.00	1.67%	9/30/2017	
16	TIAA	\$954.00	1.59%	9/30/2017	purchaed Nuveen
17	Natixis Global Associates	\$951.70	1.59%	9/30/2017	
18	T Rowe Price	\$948.00	1.58%	9/30/2017	Targeting Schwab customers
19	Sun Life Global Investments	\$934.00	1.56%	11/8/2017	
20	Invesco	\$917.50	1.53%	9/30/2017	Acquiring Source (ETFs)
21	AXA	\$870.60	1.45%	9/30/2017	
22	Deutsche Asset Management	\$840.00	1.40%	9/30/2017	
23	TransAmerica Funds	\$809.00	1.35%	9/30/2017	Reduced workforce by 800 - 12/2/16
24	Goldman Sachs Asset Management	\$794.00	1.32%	3/31/2017	
25	Affiliated Managers Group (AMG)	\$772.10	1.29%	9/30/2017	Purchaed Ashton Funds
26	Credit Sussie	\$759.00	1.27%	11/2/2017	
27	Franklin Templeton	\$750.40	1.25%	8/17/2017	AUM up \$10.9 B year over year 6/30/19
28	Legg Mason	\$750.00	1.25%	6/30/2017	AUM up 17.0 B in one quarter
29	Insight Investors	\$727.50	1.21%	6/30/2017	
30	Principal Financial Group	\$655.50	1.09%	9/30/2017	
31	UBS	\$653.00	1.09%	4/7/2017	
32	BNP Paribas	\$648.60	1.08%	3/31/2017	
33	Dimensional Fund Advisers	\$548.00	0.91%	9/30/2017	
34	Alliance Bernstein	\$542.00	0.90%	11/9/2017	
35	Wells Fargo Advantage Funds	\$496.00	0.83%	9/30/2017	FINRA fined Wells \$1 million in reporting violation
36	Ameriprise Financial	\$484.00	0.81%	10/24/2017	
37	MFS Investment Management	\$480.30	0.80%	10/31/2017	

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38	Columbia Management	\$473.00	0.79%	6/30/2017	
39	AVIVA	\$420.00	0.70%	6/30/2017	
40	Eaton Vance Distributors	\$419.30	0.70%	9/30/2017	
41	BofA Merrill Lynch	\$418.00	0.70%	3/31/2017	
42	Aegon	\$417.50	0.70%	9/30/2017	Target advertising in the tennis niche
43	Lafayette Investments	\$408.00	0.68%	9/30/2017	
44	The BlackStone Group	\$385.00	0.64%	9/30/2017	To purchase "IMC" leading furniture show rooms
45	Manulife	\$383.00	0.64%	9/30/2017	
46	Aberdeen Asset Management (UK)	\$372.00	0.62%	7/9/2017	
47	Federated Investors	\$360.50	0.60%	10/26/2017	
48	Julius Baer Securities	\$358.70	0.60%	7/24/2017	
49	Janus Capital Group	\$331.00	0.55%	5/30/2017	
50	SEI Investments	\$325.10	0.54%	9/30/2017	SEI to buy Archway Technologies 7/5/17
51	Charles Schwab	\$320.00	0.53%	6/30/2017	
52	Voya (ING)	\$311.00	0.52%	10/3/2017	
53	RBC Global Wealth (CAN)	\$307.00	0.51%	9/30/2017	
54	Baring Capital Management	\$299.30	0.50%	9/30/2017	Purchased Babson Capital
55	Guggenheim Partners	\$295.00	0.49%	6/30/2017	
56	Neuberger Berman	\$284.00	0.47%	11/1/2017	Deeper commitment to China 4/1/17
57	Old Mutual	\$279.60	0.47%	10/11/2017	
58	Dodge & Cox	\$275.00	0.46%	3/31/2017	
59	Frank Russell Investments (Northwestern N	\$266.00	0.44%	5/30/2017	
60	BMO Global Asset Group	\$248.60	0.41%	6/30/2017	
61	Oppenheimer Funds	\$246.00	0.41%	10/31/2017	Named one of the best places to work 7/19/17
62	Pioneer Investments	\$244.40	0.41%	3/31/2017	
63	Apollo Management	\$242.00	0.40%	9/30/2017	
64	Lizard Mgmt Group	\$238.00	0.40%	9/30/2017	
65	Estancia Capital Mgmt	\$209.50	0.35%	5/15/2017	
66	Edward Jones	\$204.00	0.34%	5/1/2017	FINRA fined Edward Jones \$750k for reporting violation
67	TCW Group	\$201.60	0.34%	9/30/2017	
68	Robeco	\$179.10	0.30%	9/30/2017	
69	Carlyle Group	\$174.00	0.29%	9/30/2017	
70	Putnam Investments	\$169.00	0.28%	10/31/2017	Advertising in 50 million person skiing niche
71	Mercer Advisors	\$157.00	0.26%	3/16/2017	
72	John Hancock Funds (CAN)	\$148.00	0.25%	9/30/2017	
73	Lord Abbett & Co	\$146.70	0.24%	5/30/2017	
74	IGM Financial	\$143.80	0.24%	10/31/2017	AUM down slightlyby highly transparent reporting
75	MetLife	\$140.00	0.23%	7/10/2017	
76	Oakmark Funds	\$135.00	0.23%	9/30/2017	
77	LPL Financial	\$117.20	0.20%	5/30/2017	(Note: advisory funds only)
78	Robert W Baird & Co	\$117.00	0.20%	4/19/2017	
79	The Hartford	\$111.70	0.19%	10/23/2017	Lead article: "Retirement under Trump"...
80	First Eagle Investment Management	\$110.00	0.18%	9/30/2017	
81	Doubleline	\$109.00	0.18%	6/30/2017	
82	Ares Management	\$106.00	0.18%	9/30/2017	
83	MAN Group	\$103.50	0.17%	9/30/2017	
84	OakTree	\$100.00	0.17%	9/30/2017	Slight increase in AUM

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85	Virtus Investment Partners	\$87.10	0.15%	9/30/2017	
86	Waddell & Reed	\$80.90	0.13%	9/30/2017	
87	US Bancorp	\$73.00	0.12%	9/30/2017	
88	TPG Capital	\$73.00	0.12%	6/30/2017	
89	Conning	\$70.60	0.12%	7/20/2017	
90	MacKenzie Investments	\$69.20	0.12%	9/30/2017	part of IGM
91	USAA Investment Management	\$64.60	0.11%	9/30/2017	
92	Victory Capital	\$59.00	0.10%	9/30/2017	
93	Artisan Partners Financial	\$57.60	0.10%	11/9/2017	
94	William Blair Funds	\$57.00	0.10%	9/30/2017	
95	Sterling Capital (BB&T)	\$54.00	0.09%	9/30/2017	
96	Thornburg Asset Management	\$48.90	0.08%	7/28/2017	
97	Ivy Funds	\$48.50	0.08%	3/31/2017	
98	American Century Investments (Normra to	\$42.90	0.07%	9/30/2017	
99	Gabelli Investors	\$42.30	0.07%	3/31/2017	
100	Heartland Advisors	\$39.00	0.07%	5/15/2017	
101	Fortress	\$36.10	0.06%	9/30/2017	
102	AGF Management Limited	\$35.30	0.06%	10/3/2017	
103	Matthews Asia Funds	\$32.60	0.05%	9/30/2017	
104	Royce Funds	\$32.00	0.05%	2/7/2017	
105	Och-Ziff	\$31.80	0.05%	10/1/2017	
106	Cerberus Capital Management	\$30.00	0.05%	11/2/2017	
107	Scout Investments	\$27.30	0.05%	4/10/2017	RJ to acquire
108	Davis Select Advisers	\$25.00	0.04%	6/30/2017	
109	Reams Asset Mgmt	\$22.30	0.04%	9/30/2017	
110	Touchstone Investments	\$19.50	0.03%	9/30/2017	Buying Sentinel's assets
111	Tweedy Browne Co	\$18.10	0.03%	9/30/2017	
112	Brown Brothers Harriman	\$13.50	0.02%	8/8/2017	
113	Avenue Capital Management	\$9.60	0.02%	9/30/2017	
114	Kelso & Company	\$9.50	0.02%	4/27/2017	
115	Ariel Investments	\$8.60	0.01%	9/30/2017	
116	Pacific Funds	\$6.20	0.01%	3/31/2017	Part of Pacific Life
117	FIS Group	\$4.70	0.01%	5/15/2017	
118	Saturna Capital	\$3.30	0.01%	3/31/2017	
119	Mainstay Capital/NYLife	\$2.10	0.00%	6/5/2017	
		=====	=====		
		\$59,986.40	100.00%		

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